

# **SOT FDA Colloquia on Emerging Toxicological Science Challenges in Food and Ingredient Safety**



## **Contemporary Issues in Risk Assessment**

**June 17, 2015**



## **SOT FDA Colloquia on Emerging Toxicological Science Challenges in Food and Ingredient Safety**

# **Harmonizing Dose-Response Assessment for Cancer and Non- Cancer Endpoints in Human Health Assessments**

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# Conflict of Interest Statement

I declare that neither I nor my family members have any financial interest with a commercial organization that has a direct or indirect interest in the subject matter of my presentation.



# Acknowledgments

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# Outline

- Current approaches to developing toxicity values
- WHO/IPCS framework for a new harmonized toxicity value:  $HD_M^I$
- Demonstration of software tools to implement harmonized dose-response assessment
  - BMDS Wizard for dose-response modeling
  - APROBA for derivation of harmonized toxicity values
  - Case studies with deoxynivalenol and methyleugenol



# Dose-Response Assessment in Context



# Terminology

## Adverse Effect

A biochemical change, functional impairment, or pathologic lesion that affects the performance of the whole organism, or reduces an organism's ability to respond to an additional environmental challenge.

**Hazard  
Identification**



## Dose-Response Relationship

The relationship between a quantified exposure (dose) and the proportion of subjects demonstrating specific biologically significant changes in incidence and/or in degree of change (response).

**Dose-  
Response  
Assessment**



## Toxicity Value

A numerical expression of a substance's exposure-response relationship that is used in risk assessments.



# Point of Departure and Margin of Exposure

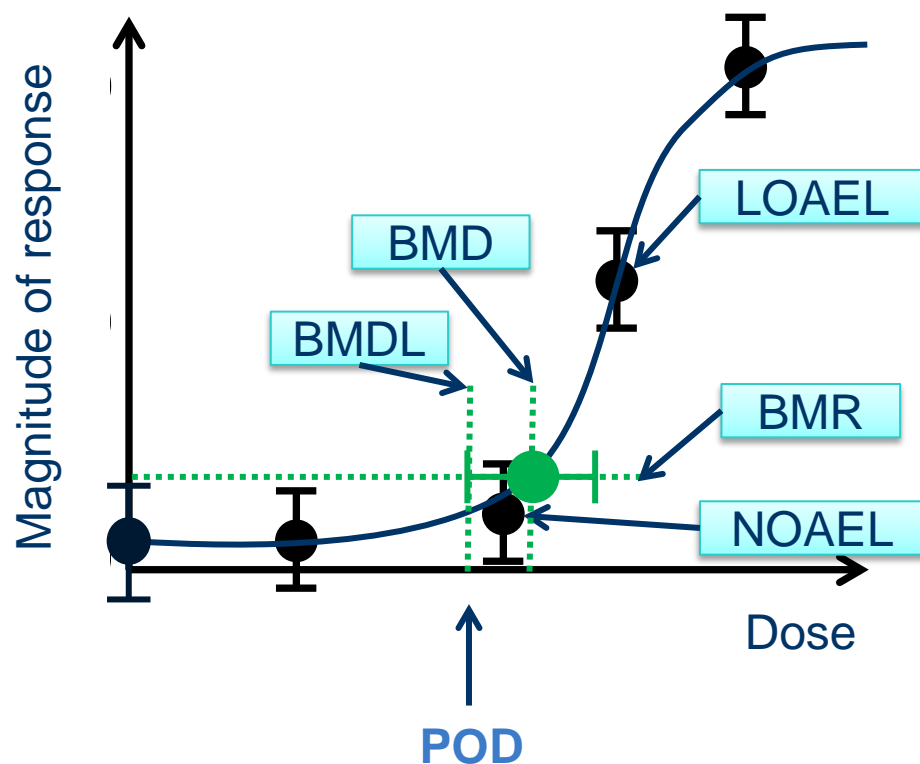
Identify studies and adverse effects  
Evaluate mode of action (MOA)

Identify or derive POD  
(e.g., LOAEL, NOAEL, or BMDL)

## Point of Departure (POD)

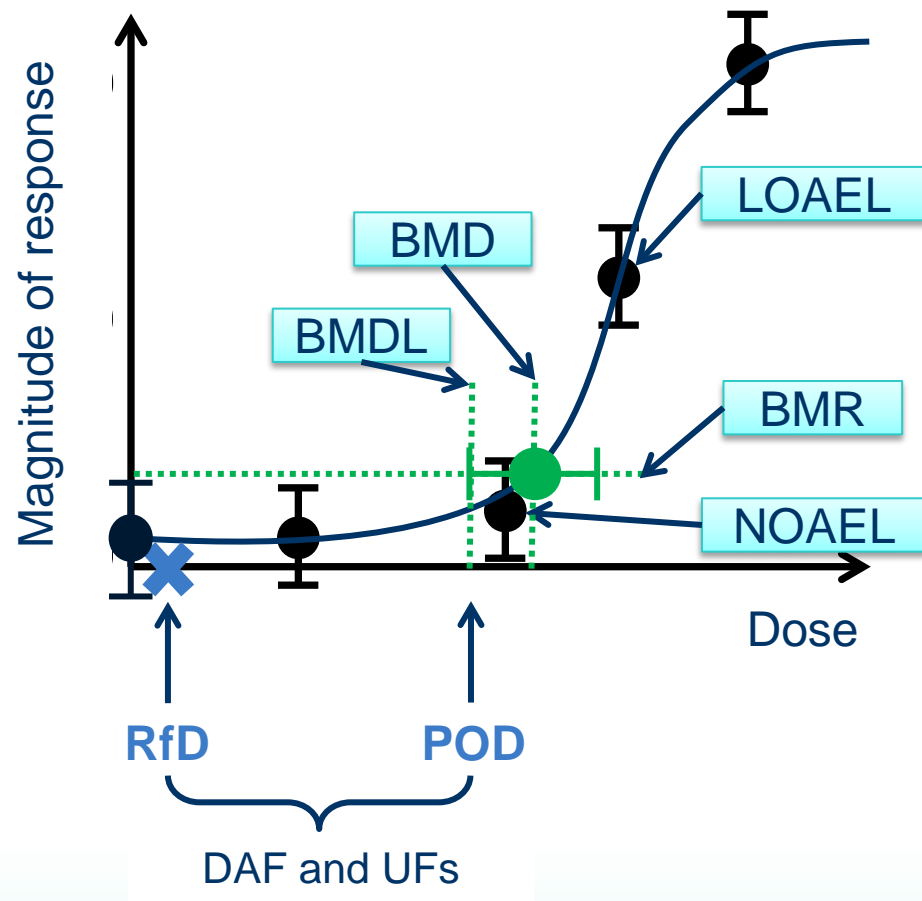
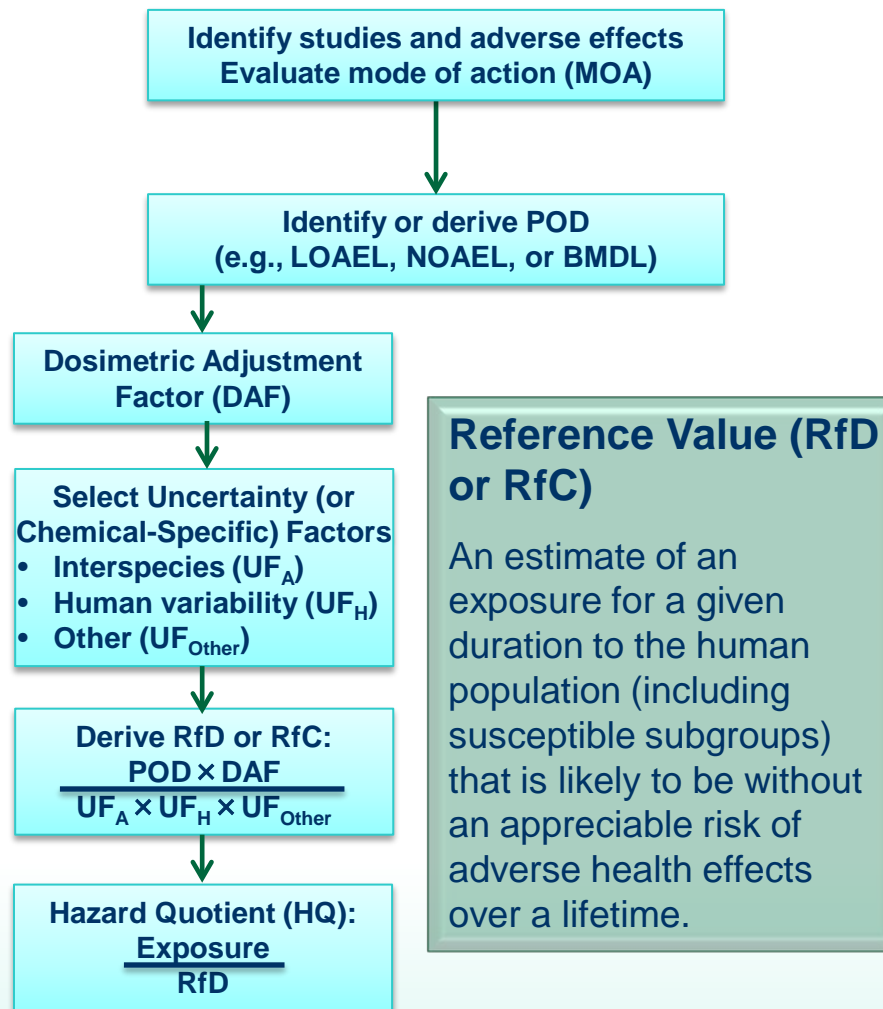
The dose-response point, derived from observed dose-response data, that marks the beginning of a low-dose extrapolation.

Margin of Exposure (MOE):  
$$\frac{\text{POD}}{\text{Exposure}}$$

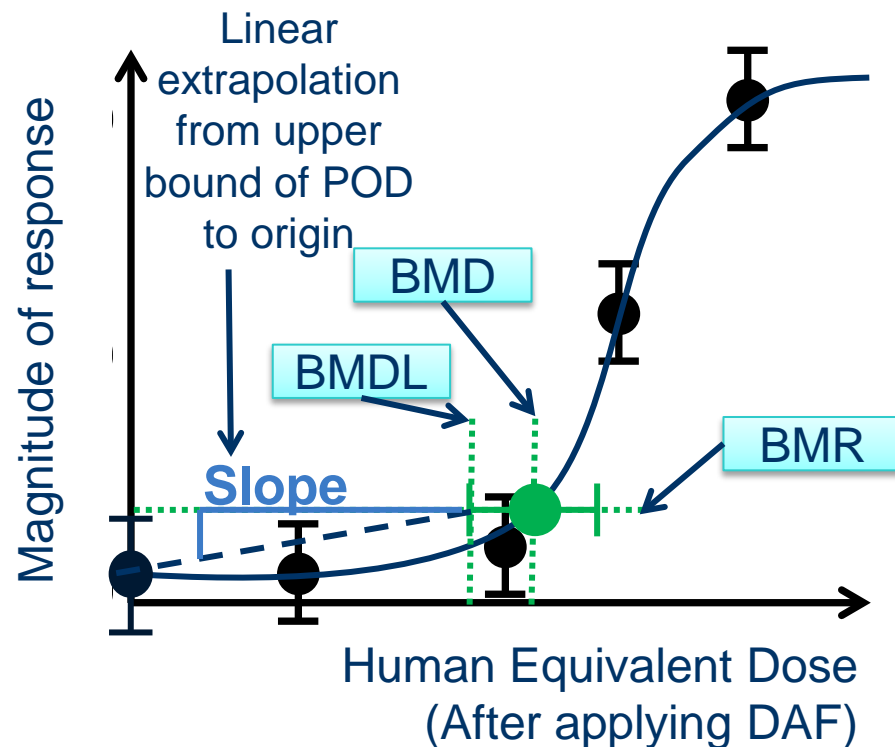
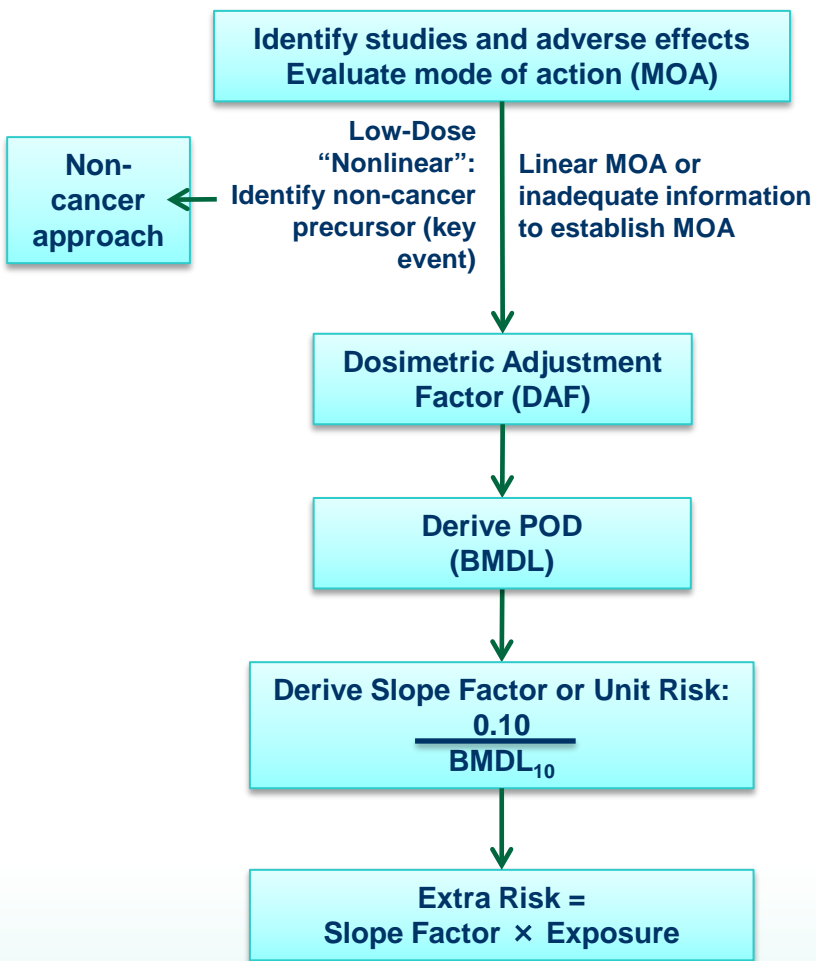




# Reference Value and Hazard Quotient



# Slope Factor, Unit Risk, and Extra Risk

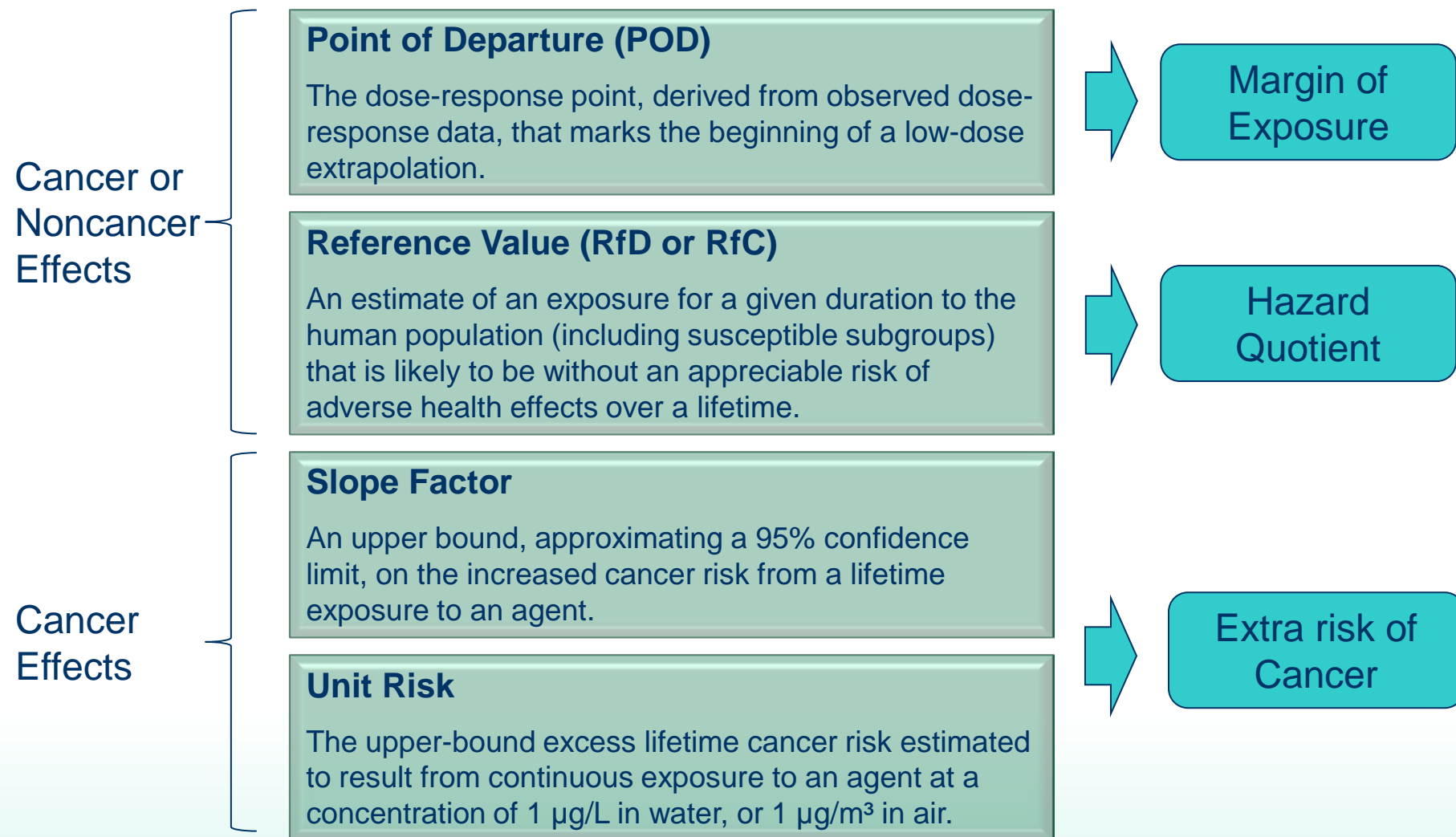


## Unit Risk

The upper-bound excess lifetime cancer risk estimated to result from continuous exposure to an agent at a concentration of 1 µg/L in water, or 1 µg/m<sup>3</sup> in air.



# Summary of Typical Current Toxicity Values



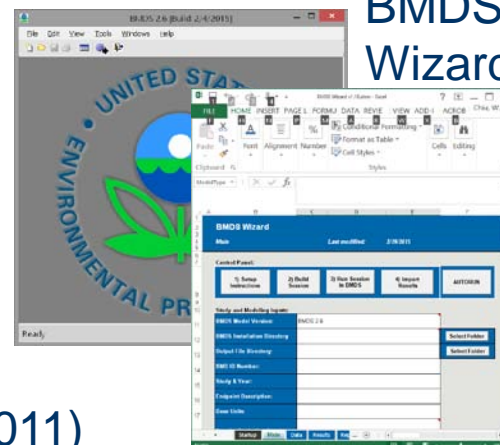
# Partial progress towards harmonization

- Use of benchmark dose modeling



BMDS

BMDS  
Wizard



- Application of interspecies dosimetric adjustments

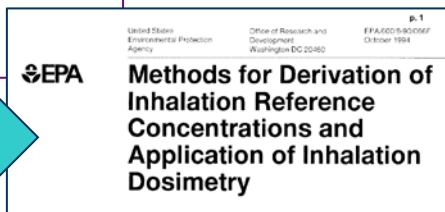
- Allometric scaling



EPA (2011)

Recommended Use of Body Weight<sup>3/4</sup>  
as the Default Method in Derivation of the  
Oral Reference Dose

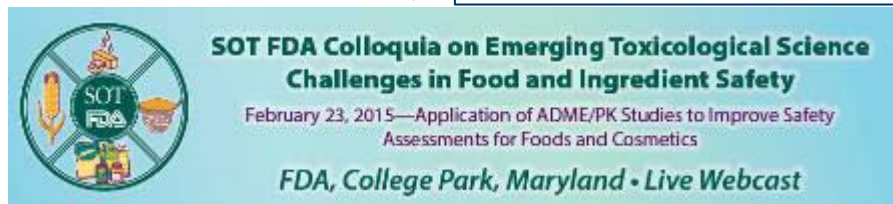
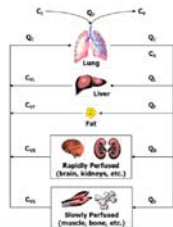
EPA (1994)



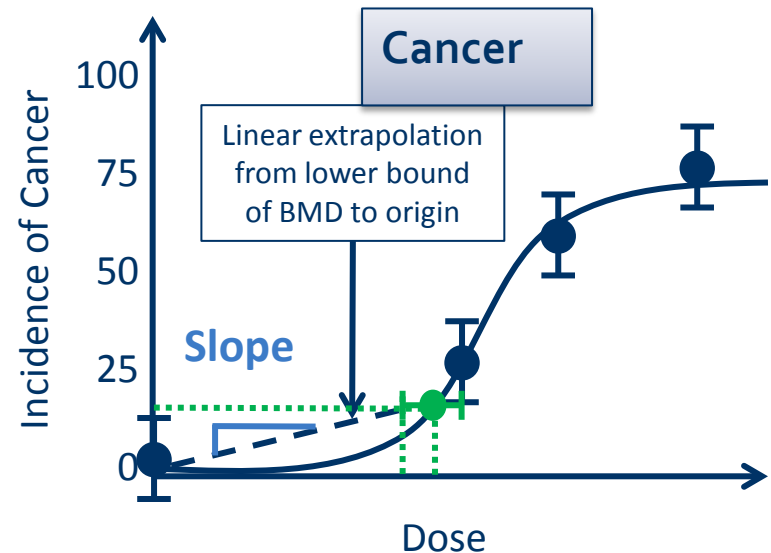
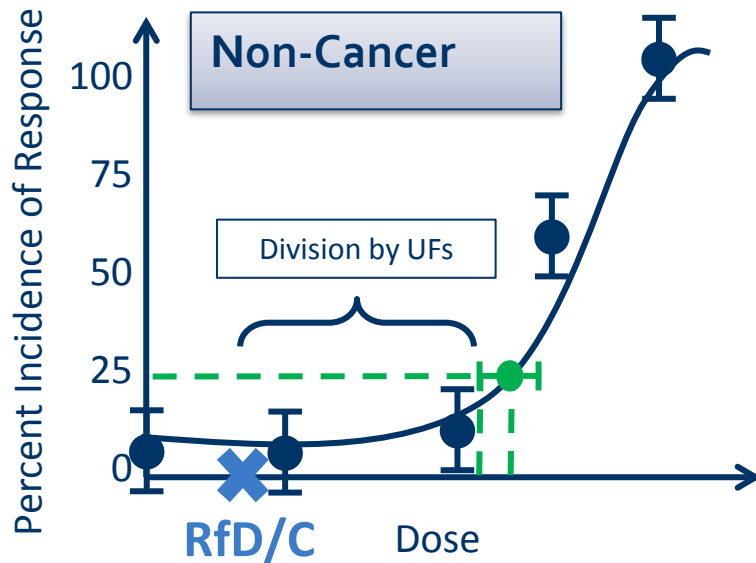
- Inhalation dosimetry



- PBPK modeling



# Benchmark dose modeling



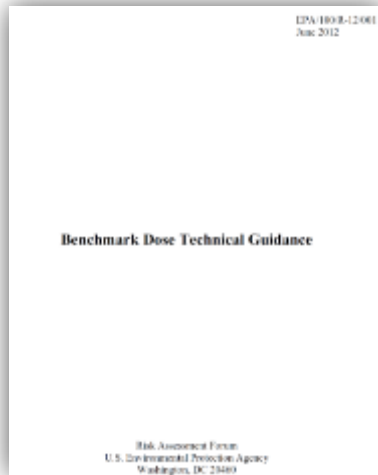
## Benefits

- Uses all the data
- Independent of dose-spacing
- Transparent as to associated magnitude of response
- “Rewards” higher quality data

## Challenges

- More complex, time-intensive
  - Software, guidance, training are available
- Not all data amenable
  - For non-cancer, can “fall-back” on NOAEL, LOAEL

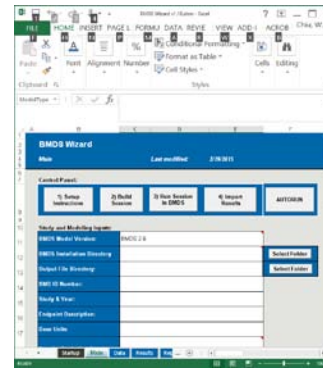
# Benchmark dose modeling resources (freely available)



**EPA Benchmark Dose  
Technical Guidance  
June 2012**



**EPA Benchmark  
Dose Software  
(BMDS) and online  
tutorials**



**ICF BMDS  
Wizard  
Excel Tool**



**RIVM  
PROAST R-  
package**

All EHP content is accessible to individuals with disabilities. A fully accessible (Section 508-compliant) HTML version of this article is available at <http://dx.doi.org/10.1289/ehp.1307539>

Research

## Standardizing Benchmark Dose Calculations to Improve Science-Based Decisions in Human Health Assessments

Jessica A. Wignall,<sup>1</sup> Andrew J. Shapiro,<sup>1</sup> Fred A. Wright,<sup>2</sup> Tracey J. Woodruff,<sup>3</sup> Weihsueh A. Chiu,<sup>4</sup> Kathryn Z. Guyton,<sup>4</sup> and Ivan Rusyn<sup>1</sup>

<sup>1</sup>Department of Environmental Sciences and Engineering, and <sup>2</sup>Department of Biostatistics, Gillings School of Global Public Health, University of North Carolina at Chapel Hill, Chapel Hill, North Carolina, USA; <sup>3</sup>Department of Obstetrics, Gynecology, and Reproductive Sciences, School of Medicine, University of California, Oakland, California, USA; <sup>4</sup>National Center for Environmental Assessment, U.S. Environmental Protection Agency, Washington, DC, USA

Being incorporated  
into HAWC



A content management system for human health assessments.

<https://hawcproject.org/>

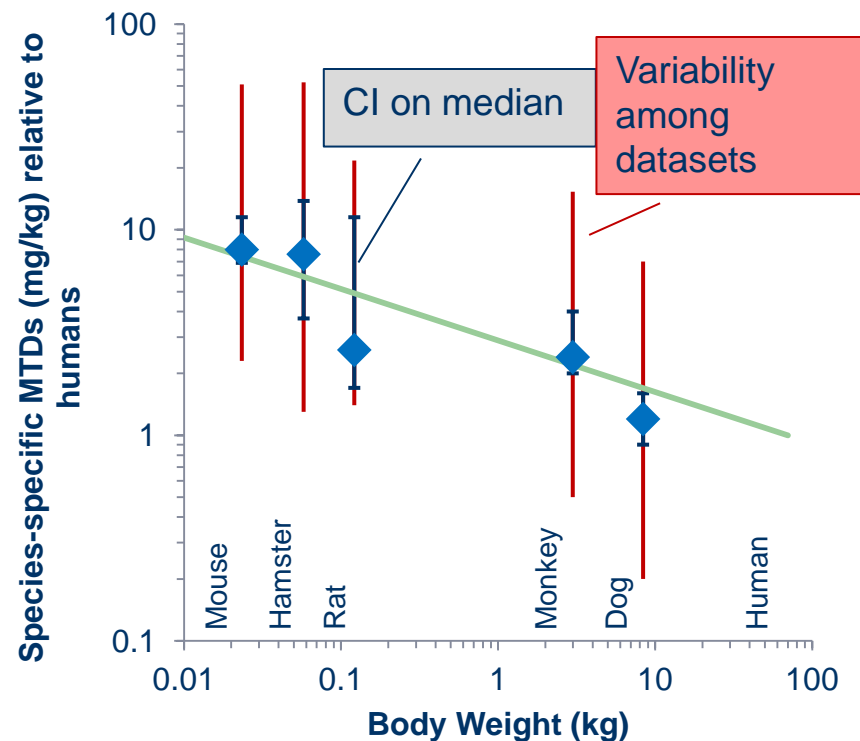
# Allometric scaling

- On “average,” species are equally sensitive after adjusting for body size.

$$\text{DAF} = (\text{BW}_{\text{animal}}/\text{BW}_{\text{human}})^{1-\alpha}$$

$\alpha \approx 0.7$  or  $0.75$

- Now applied to both cancer and non-cancer assessments.
- Non-cancer assessments also address the substantial chemical-to-chemical variability due to chemical-specific toxicokinetics and toxicodynamics.





# Outline

- Current approaches to developing toxicity values
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  - APROBA for derivation of harmonized toxicity values
  - Case studies with deoxynivalenol and methyleugenol

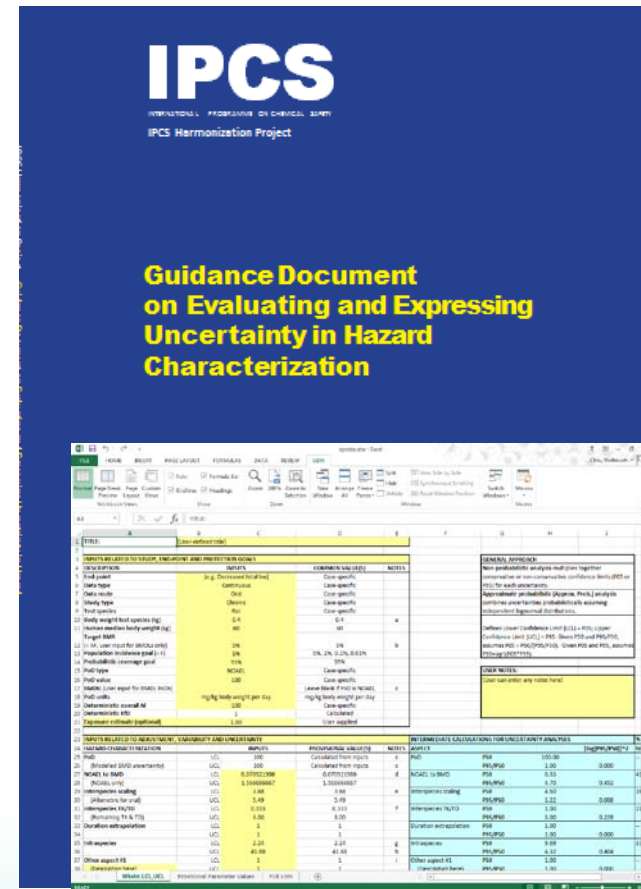




# Key missing harmonization elements addressed by WHO/IPCS

- Non-cancer (and non-linear cancer) assessments are missing
  - Quantitative risk estimates (e.g., incidence)
  - Distinction between uncertainty from variability
- Cancer assessments are missing
  - Explicit accounting of human variability
  - Incorporation of interspecies (or other) uncertainties
- Both types of assessments
  - Output a single point estimate
  - Assumed to be “conservative” but unclear by how much

Harmonization Project  
Document No. 11



APROBA spreadsheet tool



# Limitations addressed by WHO/IPCS with a “unified” toxicity value: $HD_M^I$

$HD_M^I$  = the human dose at which a fraction (or incidence)  $I$  of the population shows an effect of magnitude (or severity)  $M$  or greater for the adverse effect considered.

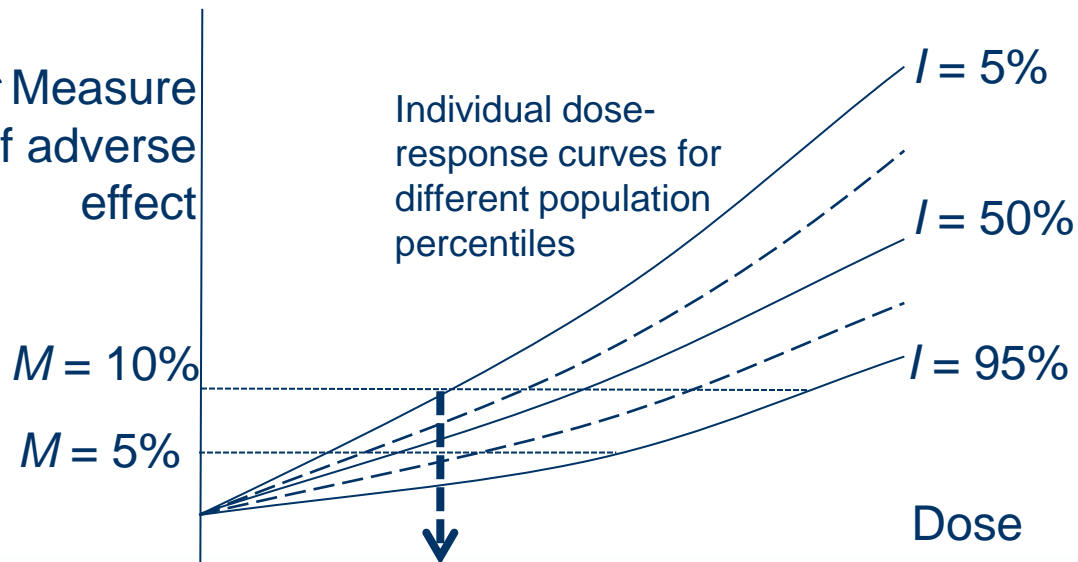
## Effect measures

- Percent change (e.g., 5%, 10%)
- Lesion severity (e.g., mild, moderate)
- Extra risk for individual probability of effect (e.g., 1%, 10% extra risk of cancer)

## Benefits to harmonization:

- Provides quantitative risk estimate in terms of incidence.
- Addresses interspecies and other uncertainties.
- Separately addresses variability.
- Probabilistic analysis can provide uncertainty distribution or confidence interval.

Measure of adverse effect



At this dose ( $HD_{10}^{05}$ ), adverse effects of magnitude  $M \geq 10\%$  occur with an incidence  $I = 5\%$  in the population.

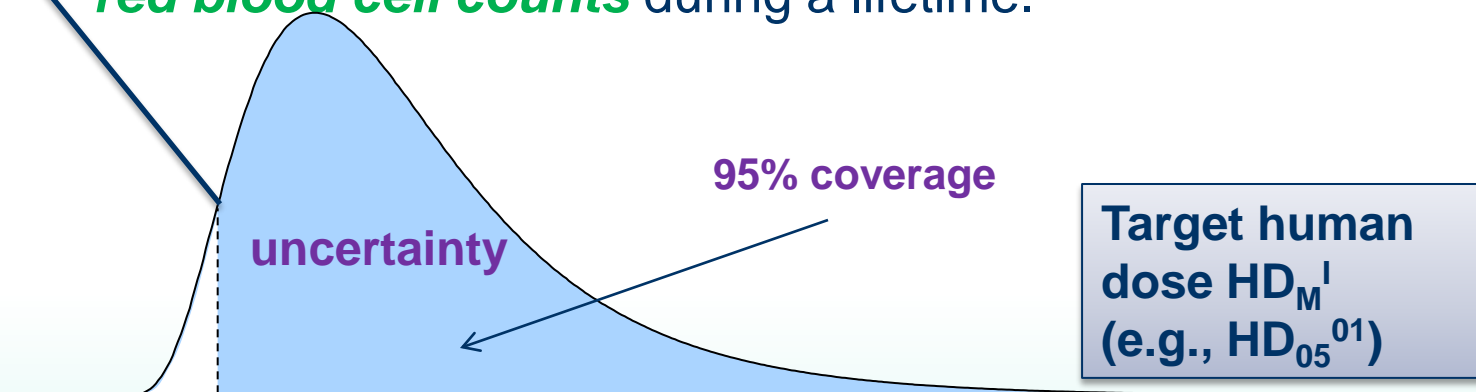
# Refining the definition of the RfD in terms of the $HD_M^I$

Deterministic  
RfD

... a daily oral exposure to the **human population (including sensitive subgroups)** that is **likely** to be **without an appreciable risk** of **deleterious effects** during a lifetime.

Probabilistic  
RfD  
(with 95%  
coverage)

... a daily oral exposure where, with **95% coverage (confidence)**, **1% of the human population** shows **more than 5% decrease in red blood cell counts** during a lifetime.

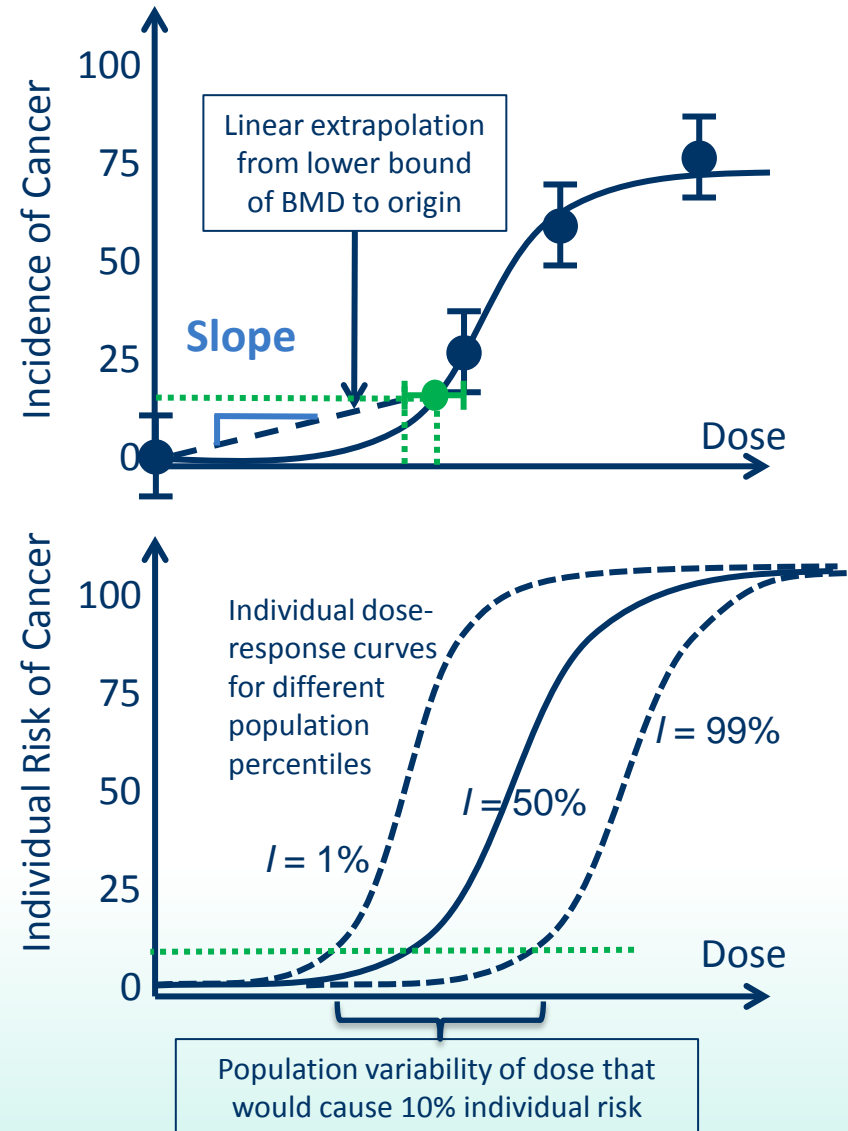


Adapted from WHO (2014)



# $HD_M^I$ implies a re-orientation of cancer assessment

- Current cancer assessments use linear extrapolation to estimate population incidence (assumes everyone has same slope).
- In the harmonized approach, cancer assessments are re-oriented to estimating
  - Individual risk of cancer, and
  - The variation of individual risk across the population.
- Population incidence can still be calculated by integrating individual risk over the population.



# HD<sub>M</sub><sup>I</sup> derived using a unified approach for cancer and non-cancer effects

## Conceptual Model

**Step 1.** Dose that will cause effect of magnitude  $M^*$  in the experimental animal.

Interspecies, study-specific adjustments

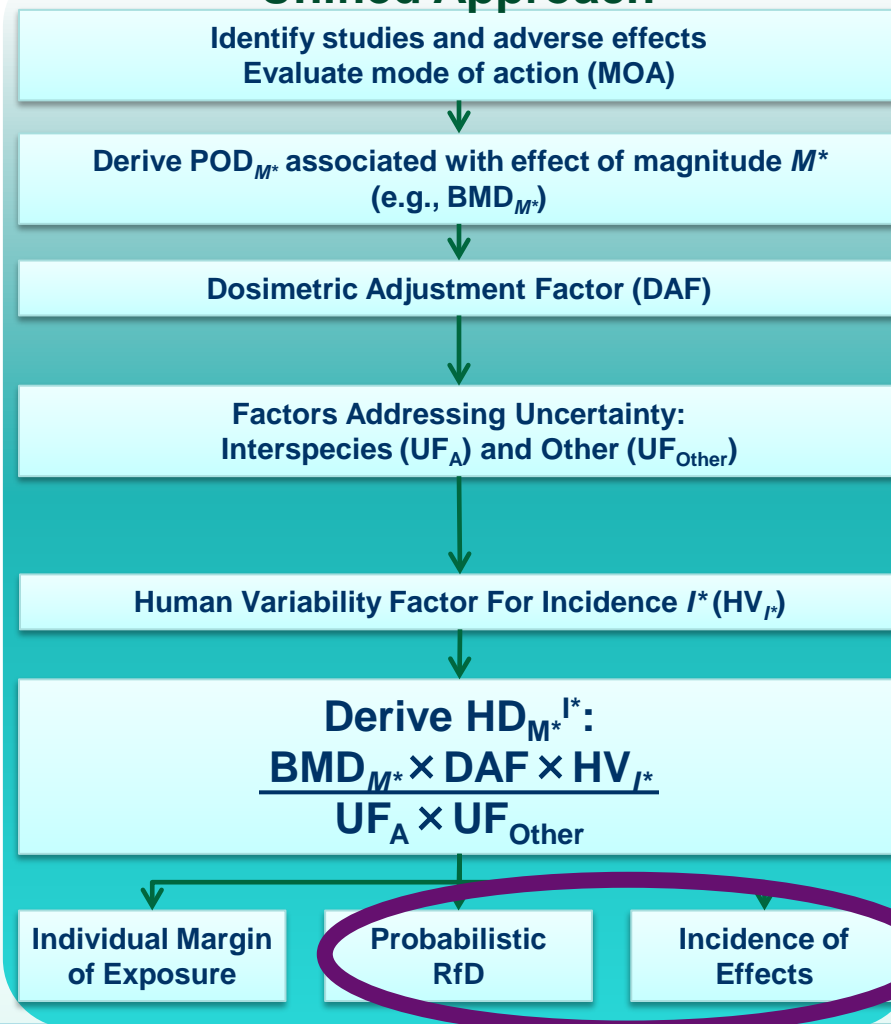
**Step 2.** Dose that will cause effect of magnitude  $M^*$  in the median human.

Accounting for human variability

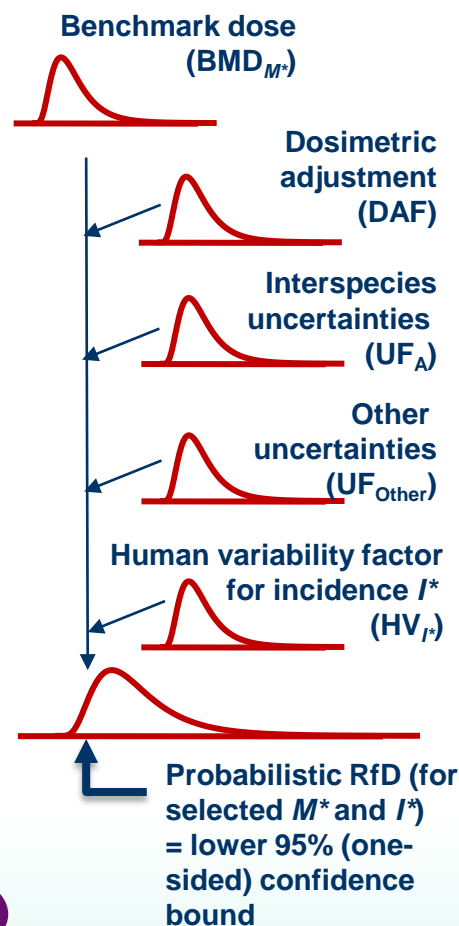
**Step 3.** Dose that will cause effects of magnitude  $\geq M^*$  with incidence  $I^*$  in the human population.

Adapted from WHO (2014),  
Chiu and Slob (2015)

## Unified Approach

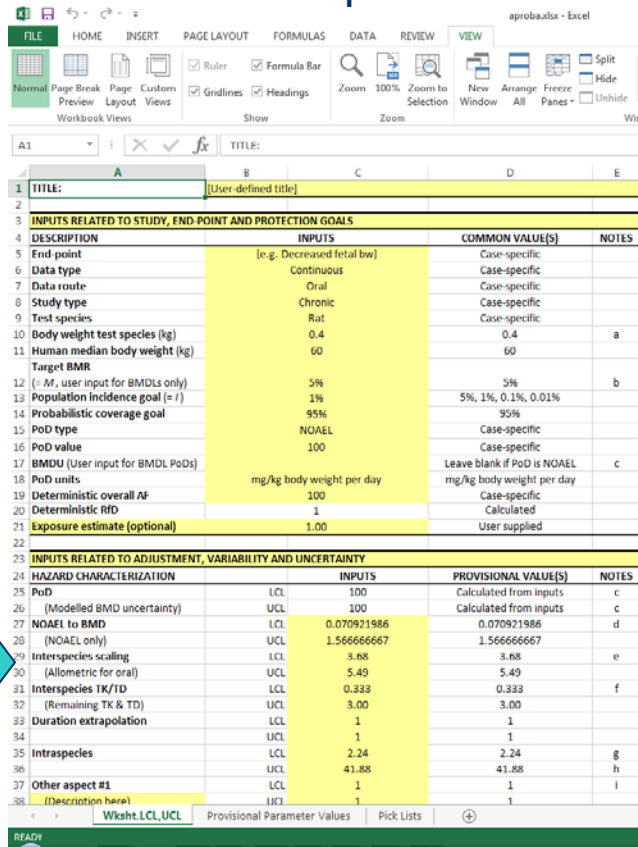


## Probabilistic Analysis



# Harmonization and data integration facilitated by “APROBA” Excel tool

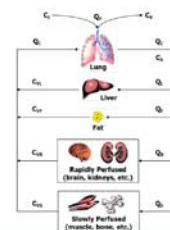
## “APROBA” Spreadsheet



The screenshot shows the APROBA Excel spreadsheet with two main tables: 'INPUTS RELATED TO STUDY, END-POINT AND PROTECTION GOALS' and 'INPUTS RELATED TO ADJUSTMENT, VARIABILITY AND UNCERTAINTY'.

DESCRIPTION	INPUTS	COMMON VALUE(S)	NOTES
1 TITLE:	[User-defined title]		
<b>INPUTS RELATED TO STUDY, END-POINT AND PROTECTION GOALS</b>			
4 DESCRIPTION	INPUTS	COMMON VALUE(S)	NOTES
5 End point	[e.g. Decreased fetal bw]	Case-specific	
6 Data type	Continuous	Case-specific	
7 Data route	Oral	Case-specific	
8 Study type	Chronic	Case-specific	
9 Test species	Rat	Case-specific	
10 Body weight test species (kg)	0.4	0.4	a
11 Human median body weight (kg)	60	60	
12 Target BMR (= M <sub>0</sub> , user input for BMDLs only)	5%	5%	b
13 Population incidence goal (= I)	1%	5%, 1%, 0.1%, 0.01%	
14 Probabilistic coverage goal	95%	95%	
15 PoD type	NOAEL	Case-specific	
16 PoD value	100	Case-specific	
17 BMDU (User input for BMDL PoDs)		Leave blank if PoD is NOAEL	c
18 PoD units	mg/kg body weight per day	mg/kg body weight per day	
19 Deterministic overall AF	100	Case-specific	
20 Deterministic RfD	1	Calculated	
21 Exposure estimate (optional)	1.00	User supplied	
<b>INPUTS RELATED TO ADJUSTMENT, VARIABILITY AND UNCERTAINTY</b>			
24 HAZARD CHARACTERIZATION	INPUTS	PROVISIONAL VALUE(S)	NOTES
25 PoD	LCL 100	Calculated from inputs	c
26 (Modelled BMD uncertainty)	UCL 100	Calculated from inputs	
27 NOAEL to BMD	LCL 0.070921886	0.070921886	d
28 (NOAEL only)	UCL 1.566666667	1.566666667	
29 Interspecies scaling	LCL 3.68	3.68	e
30 (Allometric for oral)	UCL 5.49	5.49	
31 Interspecies TK/TD	LCL 0.333	0.333	f
32 (Remaining TK & TD)	UCL 3.00	3.00	
33 Duration extrapolation	LCL 1	1	
34	UCL 1	1	
35 Intraspecies	LCL 2.24	2.24	g
36	UCL 41.88	41.88	
37 Other aspect #1	LCL 1	1	h
38 ((Description here))	UCL 1	1	i

**EPA** Methods for Derivation of Inhalation Reference Concentrations and Application of Inhalation Dosimetry



Recommended Use of Body Weight<sup>3/4</sup> as the Default Method in Derivation of the Oral Reference Dose

# Outline

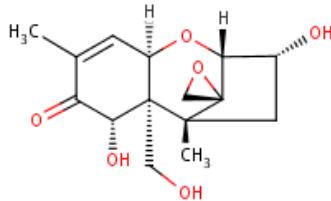
- Current approaches to developing toxicity values
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# Two case studies: Use of BMDS Wizard and APROBA to derive $HD_M^I$

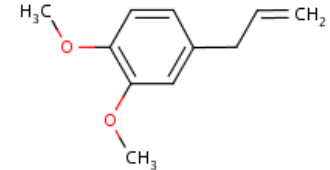
## Non-cancer: Deoxynivalenol



Source: TOXNET/ChemID plus

- Mycotoxin in cereals (“vomitoxin”)
- Highly prevalent in food with widespread exposure
- Adverse noncancer effects:
  - Decreased body weight
  - Prenatal development
  - Male fertility

## Cancer: Methyleugenol



- Natural component of essential oils
- Used as flavoring agent, fragrance, insect attractant
- IARC Group 2B (possibly carcinogenic)
  - Liver tumors in rats and mice
  - Multiple other tumors in rats



# How do you calculate the $HD_M^I$ ?

1. **Select “ $M$ ” and “ $I$ ”**
  - **DON:  $M=5\%$  change in body weight;  $I=1\%$  incidence**
  - **MET:  $M=1\%$  or  $10\%$  extra risk;  $I=1\%$  incidence**
2. **Use BMDS Wizard to estimate BMD**
  - Model selection: Lowest AIC (can evaluate other models in sensitivity analysis)
  - Upper confidence limit  $\approx$  BMD \* (BMD/BMDL)
3. **Use APROBA to estimate  $HD_M^I$** 
  - Probabilistic RfD = lower 95% confidence limit on  $HD_M^I$
  - What is the range of uncertainty?
  - How does  $HD_M^I$  change with incidence?
4. **Characterizing uncertainty**
  - What are the largest sources of uncertainty?
  - What are options for additional data generation/analysis?

# BMDS Wizard

- Microsoft Excel-based tool developed by ICF International (no cost to users) that streamlines use of U.S. EPA's BMDS for modeling dose-response data:
  - Data entry
  - Modeling option selection
  - Running multiple models
  - Decision logic for selecting models
- Similar functionality being developed in HAWC to provide “one-stop shop” from literature search to dose-response.
- Alternative: Use RIVM's “PROAST” R-package



# BMDS Wizard Inputs

**BMDS Wizard**  
Main Last modified: 2/19/2015

**Control Panel:**

1) Setup Instructions 2) Build Session 3) Run Session in BMDs 4) Import Results AUTORUN

**Study and Modeling Inputs:**

BMDS Model Version: BMDs 2.6

BMDS Installation Directory: C:\Users\wchiu\BMDs260\ Select Folder

Output File Directory: C:\Users\wchiu\Documents\Teaching\SQT-FDA-Sympos Select Folder

BMD ID Number:

Study & Year: Iverson et al. (1995)

Endpoint Description: Decreased body weight

Dose Units: mg/(kg d)

Test for Study Heading in Report (optional): Decreased body weight in male B6C3F1 mice following administration in diet for 2 years (Iverson et al., 1995)

BMD or BMC Calculated? BMD

Select Dataset Type: Continuous

Enter Study Data: [Click here to enter data](#)

**Add new models to BMDS Session:**

Exponential CV Hill CV Power CV Polynomial 2 CV Polynomial 3 CV Linear CV Exponential NCV Hill NCV Power NCV Polynomial 2 NCV Polynomial 3 NCV Linear NCV

Add Model & Load Model Defaults

Clear All Models

**Color Coding for Model Option Setup**

Input cell for selected model

In the default case ("Default," values are auto-assigned by BMDs. If you want to manually assign a parameter, type "Specified" or "Initialized", comma, then the value (ex. "Specified,1"). Comments in each cell indicate whether there are allowed/not allowed values for a parameter.

Used for naming BMDs inputs and outputs (not a BMDs input)

Don't edit this value for the selected model; required to be empty or with fixed value.

**BMDS Model Option Setups:**

Parameter	Type and/or Format	Exponential CV	Hill CV	Power CV	Polynomial 3 CV	Polynomial 2 CV	Linear CV	Exponential NCV	Hill NCV	Power NCV	Polynomial 3 NCV	Polynomial 2 NCV
BMDS Option Filename	String	Iverson et al., 1995	Iverson et al., 1995	Iverson et al., 1995	Iverson et al., 1995	Iverson et al., 1995	Iverson et al., 1995	Iverson et al., 1995	Iverson et al., 1995	Iverson et al., 1995	Iverson et al., 1995	Iverson et al., 1995
Model Type [for filename]	String	ExpCV	HillCV	PowerCV	Poly3CV	Poly2CV	LinearCV	ExpNCV	HillNCV	PowerNCV	Poly3NCV	Poly2NCV
BMR Info [for filename]	String	5RD	5RD	5RD	5RD	5RD	5RD	5RD	5RD	5RD	5RD	5RD
Animal ID	String											
Dose	String	Dose	Dose	Dose	Dose	Dose	Dose	Dose	Dose	Dose	Dose	Dose
# Subjects in Dose Group	String	NumAnimals	NumAnimals	NumAnimals	NumAnimals	NumAnimals	NumAnimals	NumAnimals	NumAnimals	NumAnimals	NumAnimals	NumAnimals
Mean	String	MeanResponse	MeanResponse	MeanResponse	MeanResponse	MeanResponse	MeanResponse	MeanResponse	MeanResponse	MeanResponse	MeanResponse	MeanResponse

**BMDS Wizard**  
BMDS Input Data Return to Main

**Cell Color Coding**  
Input Cells Calculated Cells

**Notes and Calculations from Dose-Response Data**

Number of Dose Groups: 4

Data Trend (continuous only): decreasing

Notes (included in BMDs output):

**Dose-Response Data Inputs**

Column Name in BMDs	Dose	MeanResponse	Stdev	NumAnimals
Column Name in BMDs	Dose	MeanResponse	Stdev	NumAnimals
Dose Group 1	0	43.85	2.69	37
Dose Group 2	0.1	43.51	2.86	35
Dose Group 3	0.5	40.04	3	43
Dose Group 4	1.1	35.09	2.56	42

Startup Main Data Results Report Logic Quick Start Guide

READY 70%

(1) Enter study and endpoint information

(2) Enter dose-response data

# BMDs Wizard Inputs

**BMDs Wizard**  
Main Last modified: 2/19/2015

**Control Panel:**

1) Setup Instructions 2) Build Session 3) Run Session in BMDs 4) Import Results **AUTORUN**

**Study and Modeling Inputs:**

BMDs Model Version: BMDs 2.6

BMDs Installation Directory: C:\Users\wchiu\BMDs260\ Select Folder

Output File Directory: C:\Users\wchiu\Documents\Teaching\SQT-FDA-Sympos Select Folder

BMD ID Number:

Study & Year: Iverson et al. (1995)

Endpoint Description: Decreased body weight

Dose Units: mg/(kg d)

Test for Study Heading in Report (optional): Decreased body weight in male B6C3F1 mice following administration in diet for 2 years (Iverson et al., 1995)

BMD or BMC Calculated? BMD

Select Dataset Type: Continuous

Enter Study Data: Click here to enter data

**Add new models to BMDs Session:**

Exponential CV Hill CV Power CV Polynomial 2 CV Polynomial 3 CV Linear CV Exponential NCV Hill NCV Power NCV Polynomial 2 NCV Polynomial 3 NCV Linear NCV

Add Model Load Model Defaults Clear All Models

**Color Coding for Model Option File Setup**

Input cell for selected model

In the default case ("Default," values are auto-assigned to BMDs. If you want to manually assign a parameter, use "Specified" or "Initialized", comma, then the parameter name. "Specified, 1". Comments in each cell indicate whether there are allowed/not allowed values for a parameter.

Used for naming BMDs inputs and outputs (not a BMDs input)

Don't edit this value for the selected model; required to be empty or a fixed value.

**BMDs Model Option Setups:**

Parameter	Type and/or Format	Exponential CV	Hill CV	Power CV	Polynomial 3 CV	Polynomial 2 CV	Linear CV	Exponential NCV	Hill NCV	Power NCV	Polynomial 3 NCV	Polynomial 2 NCV	Linear NCV
BMDs Option Filename	String	Iverson et al., 1995	Iverson et al., 1995	Iverson et al., 1995	Iverson et al., 1995	Iverson et al., 1995	Iverson et al., 1995	Iverson et al., 1995	Iverson et al., 1995	Iverson et al., 1995	Iverson et al., 1995	Iverson et al., 1995	Iverson et al., 1995
Model Type [for filename]	String	ExpCV	HillCV	PowerCV	Poly3CV	Poly2CV	LinearCV	ExpNCV	HillNCV	PowerNCV	Poly3NCV	Poly2NCV	LinearNCV
BMR Info [for filename]	String	5RD	5RD	5RD	5RD	5RD	5RD	5RD	5RD	5RD	5RD	5RD	5RD
Animal ID	String												
Dose	String	Dose	Dose	Dose	Dose	Dose	Dose	Dose	Dose	Dose	Dose	Dose	Dose
# Subjects in Dose Group	String	NumAnimals	NumAnimals	NumAnimals	NumAnimals	NumAnimals	NumAnimals	NumAnimals	NumAnimals	NumAnimals	NumAnimals	NumAnimals	NumAnimals
Mean	String	MeanResponse	MeanResponse	MeanResponse	MeanResponse	MeanResponse	MeanResponse	MeanResponse	MeanResponse	MeanResponse	MeanResponse	MeanResponse	MeanResponse
Std. Deviation	String	Stddev	Stddev	Stddev	Stddev	Stddev	Stddev	Stddev	Stddev	Stddev	Stddev	Stddev	Stddev
Response	String												
Incidence	String												
% Positive	String												
Distribution	Dropdown	Normal											
Solution	String												
Risk Type	Dropdown												
BMRF	Real	0.05	0.05										
Confidence Level	Real	0.95	0.95										
BMD Calculation	Boolean	TRUE	TRUE										
BMDL Curve Calc.	Boolean												
Restrict Slope >= 1?	Boolean												
Restrict Power >= 1?	Boolean	TRUE											
Restrict Betas >= 0?	Boolean												
Restrict n>1?	Boolean		TRUE										
Degree of Polynomial Restriction	String												
Adverse Direction	String	Down	Automatic										
BMR Type	String	Rel. Dev.	Rel. Dev.										
Constant Variance?	Boolean	TRUE	TRUE										

**(5) Press "AUTORUN"**

**(3) Select models.**

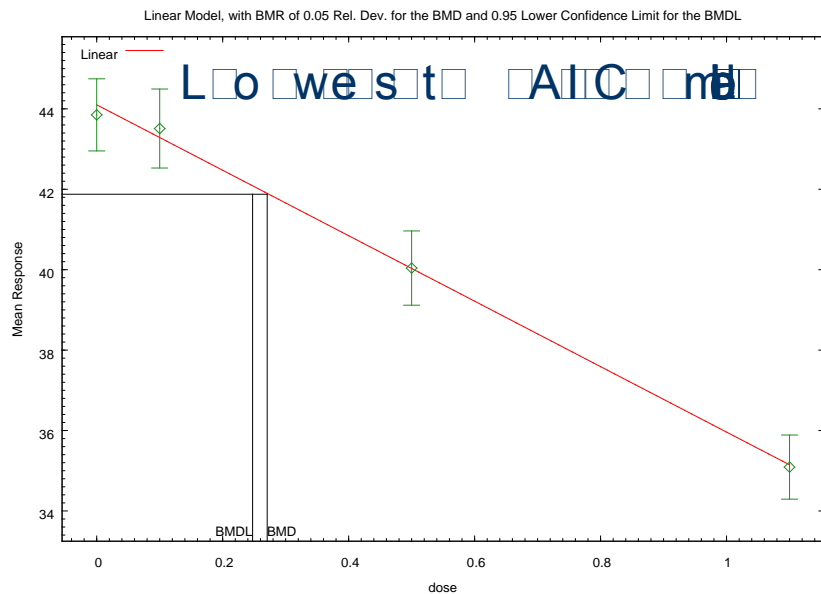
**(4) Set BMR = M:**  
DON:  
BMR Type = "Rel. Dev."  
BMRF = 0.05 (5% change)  
MET:  
BMR Type = "Extra"  
BMRF = 0.01 or 0.1  
(1% or 10% extra risk)

Start Main Data Results Report Logic Quick Start Guide

READY

# BMDS Wizard Results

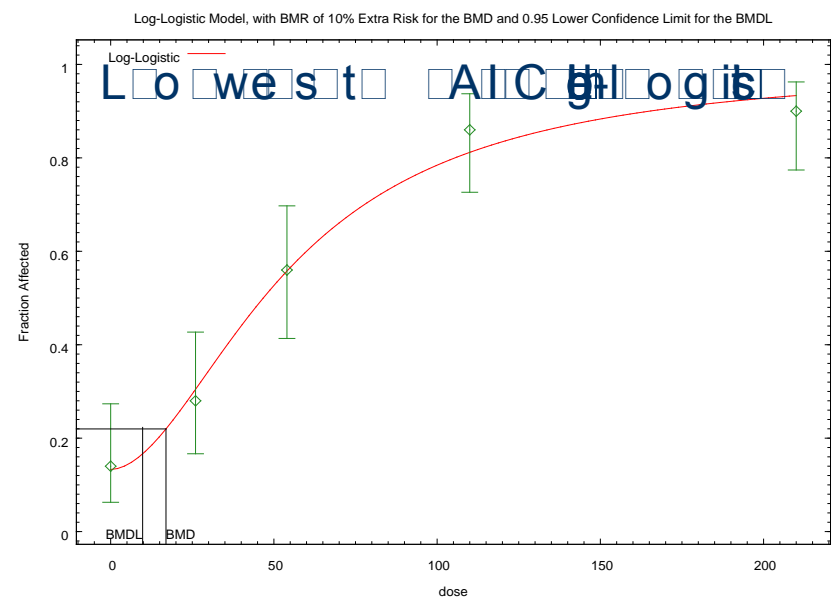
## Deoxynivalenol



00:01 05/28 2015

BMR = 5% relative  
 BMDL = 0.248 (95% CI 0.248 - 0.248)  
 BMDU = 0.296 (95% CI 0.296 - 0.296)

## Methyleugenol



17:33 05/22 2015

BMR = 10% extra  
 BMDL = 9.80 (95% CI 6.0 - 16.0)  
 BMDU = 29.1 (95% CI 4.2 - 100.0)

\*BMR = 1% extra  
 BMDL = 1.75 (95% CI 0.0 - 1.75)  
 BMDU = 12.5 (95% CI 3.25 - 100.0)

# How do you calculate the $HD_M^I$ ?

1. **Select “ $M$ ” and “ $I$ ”**
  - DON:  $M=5\%$  change in body weight;  $I=1\%$  incidence
  - MET:  $M=1\%$  or  $10\%$  extra risk;  $I=1\%$  incidence
2. **Use BMDS Wizard to estimate BMD**
  - Model selection: Lowest AIC (can evaluate other models in sensitivity analysis)
  - Upper confidence limit  $\approx \text{BMD} * (\text{BMD}/\text{BMDL})$
3. **Use APROBA to estimate  $HD_M^I$** 
  - Probabilistic RfD = lower 95% confidence limit on  $HD_M^I$
  - What is the range of uncertainty?
  - How does  $HD_M^I$  change with incidence?
4. **Characterizing uncertainty**
  - What are the largest sources of uncertainty?
  - What are options for additional data generation/analysis?

# APROBA

- Microsoft Excel-based tool developed by WHO/IPCS Workgroup that conducts “approximate” probabilistic dose-response analysis:
  - Framework for calculating  $HD_M^I$
  - Preliminary default distributions included (modifiable by user)
- Training course being developed (given for the first time at Eurotox in September 2015).
- Next version to incorporate probabilistic exposure assessment.





# APROBA Tool: General Layout

INPUTS RELATED TO STUDY, END-POINT AND PROTECTION GOALS			
DESCRIPTION	INPUTS	COMMON VALUE(S)	NOTES
End-point	Decreased Body Weight	Case-specific	
Data type	Continuous	Case-specific	
Data route	Dial	Case-specific	
Study type	Chronic	Case-specific	
Test species	Mouse	Case-specific	
Body weight test species	0.04385	0.02	a
Human median body weight	70	60	
Target BMD			
BMD (user input for BMDs only)	5%	5%	b
Population incidence goal	1%	5%, 1%, 0.1%, 0.01%	
Probabilistic coverage	95%	95%	
POD type	BMDL	Case-specific	
POD value	0.248	Case-specific	
POD (User input for BMDL)	0.236	Case-specific	c
POD units	mg/kg body weight per day	mg/kg body weight per day	
Deterministic overall AF	100	Case-specific	
Deterministic RFD	0.00248	Calculated	
Exposure estimate		User supplied	

INPUTS RELATED TO ADJUSTMENT, VARIABILITY AND UNCERTAINTY			
ASPECT	INPUTS	PROVISIONAL VALUE(S)	NOTES
POD	LCL 0.248	Calculated from inputs	c
(Modelled BMD uncertainty)	UCL 0.236	Calculated from inputs	d
NOAEL to BMD	LCL 1	1	
(NOAEL only)	UCL 1	1	
Interspecies scaling	LCL 6.80	6.80	e
(Allometric for oral)	UCL 12.28	12.28	
Interspecies TK/ID	LCL 0.333	0.333	f
(Remaining TK & ID)	UCL 3.00	3.00	
Duration extrapolation	LCL 1	1	
	UCL 1	1	
Intraspecies	LCL 2.24	2.24	g
	UCL 4188	4188	h
Other aspect #1	LCL 1	1	i
(Description here)	UCL 1	1	
Other aspect #2	LCL 1	1	
(Description here)	UCL 1	1	
Other aspect #3	LCL 1	1	
(Description here)	UCL 1	1	

NON-PROBABILISTIC ANALYSIS OUTPUTS <sup>a,c</sup>			
HD <sub>01</sub>	LCL	0.0082	day
	UCL	0.0583	mg/kg body weight per day
Fold Range of Uncertainty		262.3	
LCL of HD <sub>01</sub>			39.5%

<sup>a</sup> Based on approximate probabilistic analysis, below.

APPROXIMATE PROBABILISTIC ANALYSIS OUTPUTS			
Lower Confidence	LCL (P05)	0.00148	day
	UCL (P95)	0.0120	mg/kg body weight per day
Range of Uncertainty (Fold Range)			91.0
Estimated "Coverage" of Deterministic			57.4%
Probabilistic RFD			
0.00048			

<sup>a</sup> Approximate probabilistic POD or specified confidence.  
<sup>b</sup> Exposure of dose (mg/kg body weight per day) at which 5% of the population will have Decreased Body Weight.  
<sup>c</sup> 95% confidence.

GENERAL APPROACH			
Non-probabilistic analysis multiplies together conservative or non-conservative confidence limits (P05 or P95) for each uncertainty.			
Approximate probabilistic analysis combines uncertainties probabilistically assuming independent lognormal distributions.			
Defines Lower Confidence Limit (LCL) = P05; Upper Confidence Limit (UCL) = P95. Given P50 and P95/P50, assumes P05 = P50/(P95/P50). Given P05 and P95, assumes P50 = sqrt(P05*P95).			
USER			
(User can enter any notes here)			

INTERMEDIATE CALCULATIONS FOR UNCERTAINTY ANALYSES			
ASPECT		Log(P95/P50)	% contribution to overall
POD	P50 0.27		0%
	P95/P50 1.03	0.001	
NOAEL to BMD	P50 1.00		
	P95/P50 1.00	0.000	
Interspecies scaling	P50 9.14		3%
	P95/P50 1.34	0.015	
Interspecies TK/ID	P50 1.00		35%
	P95/P50 3.00	0.228	
Duration extrapolation	P50 1.00		
	P95/P50 1.00	0.000	
Intraspecies	P50 9.69		62%
	P95/P50 4.32	0.404	
Other aspect #1	P50 1.00		
(Description here)	P95/P50 1.00	0.000	
Other aspect #2	P50 1.00		
(Description here)	P95/P50 1.00	0.000	
Other aspect #3	P50 1.00		
(Description here)	P95/P50 1.00	0.000	
(HD <sub>01</sub> )	P50 0.003		
	UCL (P95) 0.004	0.003	Greatest uncertainty

## NOTES:

- a - Automatically adjusts for mice and rats.
- b - For NOAEL, is 5%; if continuous and 10%; if quantal-stochastic and 50%; if quantal-deterministic. User input is ignored if NOAEL. Otherwise user inputs BMR used for BMDL.
- c - For NOAEL, PoD is fixed.  
For BMD, assumes LCL = BMDL, UCL = BMDU.
- d - Uncertainty in NOAELs as surrogate for BMD.  
For deterministic quantal effects, also includes adjustment from NOAEL to ED<sub>01</sub>.
- e - Allometric scaling for oral dosing using user input body weights.  
User must supply for inhalation or dermal.
- f - Accounts for case-specific deviation from the general interspecies scaling.
- g - Depends on population incidence protection goal.
- h - For user defined values, specify LCL and UCL on Log(GSD)<sub>01</sub>, then calculate the intraspecies LCL = 10<sup>Log(GSD)<sub>01</sub> - LCL</sup> \* Log(GSD)<sub>01</sub>, where cell C13 contains the population incidence protection.
- i - Can add other extrapolation aspects, as long as P05 and P95 are specified.

Input  
Section

Contributions  
to Uncertainty  
Section

Approximate  
Probabilistic  
Output Section



# APROBA Inputs

3	<b>INPUTS RELATED TO STUDY, END-POINT AND PROTECTION GOALS</b>		
4	<b>DESCRIPTION</b>	<b>INPUTS</b>	<b>COMMON V</b>
5	End-point	Decreased Body Weight	Case-spe
6	Data type	Continuous	Case-spe
7	Data route	Oral	Case-speci
8	Study type	Chronic	Case-specific
9	Test species	Mouse	Case-specific
10	Body weight test species (kg)	0.04385	0.0
11	Human median body weight (kg)	70	60
	Target BMR		
12	(= <i>M</i> , user input for BMDLs only)	5%	5%
13	Population incidence goal (= <i>I</i> )	1%	5%, 1%, 0.1
14	Probabilistic coverage goal	95%	95%
15	PoD type	BMDL	Case-specific
16	PoD value	0.248	Case-specif
17	BMDU (User input for BMDL PoDs)	0.296	Case-specif
18	PoD units	mg/kg body weight per day	mg/kg body weigh
19	Deterministic overall AF	100	Case-specif
20	Deterministic RfD	0.00248	Calculated
21	Exposure estimate (optional)		User supplied

**Study- and  
endpoint-  
specific  
information**

**Risk  
management  
protection  
goals**

**BMDS  
Wizard  
Results**



# APROBA Inputs

23	<b>INPUTS RELATED TO ADJUSTMENT, VARIABILITY AND UNCERTAINTY</b>			
24	<b>HAZARD CHARACTERIZATION</b>		<b>INPUTS</b>	<b>PROVISION</b>
25	<b>PoD</b>	LCL	0.248	Calculate
26	(Modelled BMD uncertainty)	UCL	0.296	Calculate
27	<b>NOAEL to BMD</b>	LCL	1	
28	(NOAEL only)	UCL	1	
29	<b>Interspecies scaling</b>	LCL	6.80	
30	(Allometric for oral)	UCL	12.28	
31	<b>Interspecies TK/TD</b>	LCL	0.333	
32	(Remaining TK & TD)	UCL	3.00	
33	<b>Duration extrapolation</b>	LCL	1	
34		UCL	1	
35	<b>Intraspecies</b>	LCL	2.24	2.24
36		UCL	41.88	41.88
37	<b>Other aspect #1</b>	LCL	1	1
38	(Description here)	UCL	1	1
39	<b>Other aspect #2</b>	LCL	1	1
40	(Description here)	UCL	1	1
41	<b>Other aspect #3</b>	LCL	1	1
42	(Description here)	UCL	1	1

**Preliminary  
default  
distributions  
calculated  
based on  
WHO/IPCS  
review/  
analysis  
(editable)**



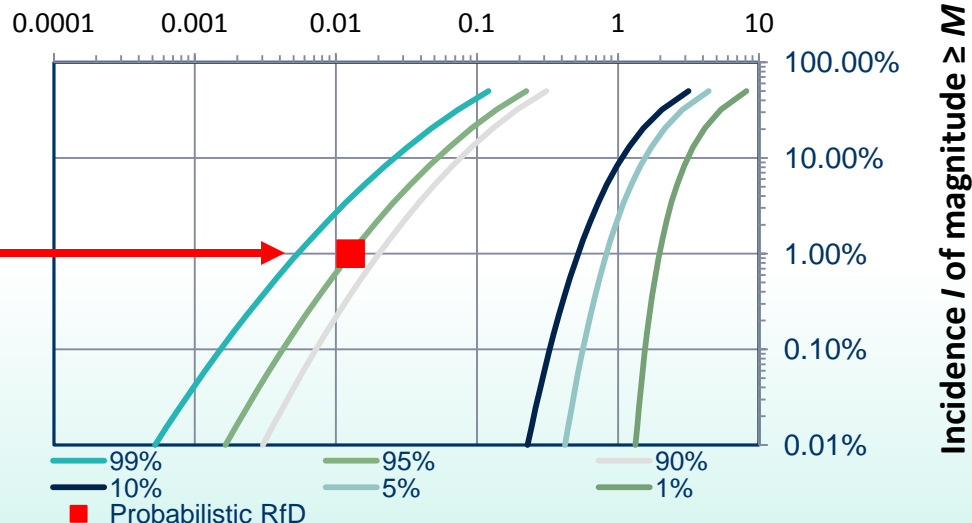
# APROBA Calculation of $HD_M^I$ and Probabilistic RfD

51	APPROXIMATE PROBABILISTIC ANALYSIS OUTPUTS			
52	Standard Confidence Interval			
53	Target Human Dose ( $HD_M^I$ )	LCL (P05)	0.00048	mg/kg body weight per day
54		UCL (P95)	0.020	
55	Degree of Uncertainty (Fold Range)			41.0
56	Estimated "Coverage" of Deterministic RfD			57.4%
57	Probabilistic RfD	= Approximate probabilistic $HD_M^I$ at specified % confidence		
58	0.00048	= Estimate of dose (mg/kg body weight per day) at which, with		
59		95%	confidence	
60		1%	of the population will have	Decreased Body Weight
61		of magnitude	≥	5%

**$HD_M^I$  confidence interval**

**Probabilistic Reference Dose**

Target Human Dose ( $HD_M^I$ ) at different % coverage



# APROBA Results

## Deoxynivalenol

- **Probabilistic RfD:** At 0.00048 mg/(kg d) exposure, there is 95% confidence that only 1% of the population will experience 5% (or more) body weight reduction
- 41-fold uncertainty (90% two-tailed confidence interval)

## Methyleugenol

- **Probabilistic RfD:** At 0.054 mg/(kg d) exposure, there is 95% confidence that only 1% of the population will experience an extra risk of cancer of 10% (or more)
- 47-fold uncertainty (90% two-tailed confidence interval)
- For extra risk of 1%
  - Prob. RfD=0.013 mg/(kg d)
  - 65-fold uncertainty

Note: Calculating overall population incidence of cancer requires separate Monte Carlo simulation (beyond the scope of this presentation). See Chiu and Slob (2015).

# How do you calculate the $HD_M^I$ ?

1. **Select “ $M$ ” and “ $I$ ”**
  - DON:  $M=5\%$  change in body weight;  $I=1\%$  incidence
  - MET:  $M=1\%$  or  $10\%$  extra risk;  $I=1\%$  incidence
2. **Use BMDS Wizard to estimate BMD**
  - Model selection: Lowest AIC (can evaluate other models in sensitivity analysis)
  - Upper confidence limit  $\approx BMD * (BMD/BMDL)$
3. **Use APROBA to estimate  $HD_M^I$** 
  - Probabilistic RfD = lower 95% confidence limit on  $HD_M^I$
  - What is the range of uncertainty?
  - How does  $HD_M^I$  change with incidence?
4. **Characterizing uncertainty**
  - What are the largest sources of uncertainty?
  - What are options for additional data generation/analysis?

# Contributions to uncertainty and options for reducing it

Percent Contribution to Uncertainty (Variance)	DON	MET (BMR=10%)	MET (BMR=1%)
POD (BMD)	<1%	8%	22%
Dosimetry	3%	1%	1%
Interspecies	35%	33%	28%
Human Variability	62%	58%	49%
Overall Uncertainty	41-fold	47-fold	65-fold

**Better dose-response data (greater “n”)**

**PBPK models in rodents and humans**

**Toxicodynamic studies (in vivo or in vitro)**

**Numerous emerging data streams**



**Population-based models and experimental in vivo and in vitro data\***

\* See NIEHS “Population-Based Rodent Resources for Environmental Health Sciences Meeting” [http://www.niehs.nih.gov/about/visiting/events/pastmtg/2015/rodent\\_resources/index.cfm](http://www.niehs.nih.gov/about/visiting/events/pastmtg/2015/rodent_resources/index.cfm)

# Conclusions: Harmonization of cancer and non-cancer dose-response assessment

- Limited harmonization in current approaches to developing toxicity values
  - Benchmark dose modeling to estimate point of departure
  - Allometric scaling to adjust for interspecies differences
- WHO/IPCS's " $HD_M$ " as a "harmonized" toxicity value
  - More refined definition of "RfD" applicable to both non-cancer and cancer endpoints
  - Quantitatively addresses magnitude of response, population variability, uncertainty
- Two Excel-based software tools for quickly and easily implementation
  - BMDS Wizard for dose-response modeling
  - APROBA for derivation of harmonized toxicity values
- Case studies with deoxynivalenol and methyleugenol
  - Feasibility of harmonized approach to dose-response assessment
  - Degree of human variability is the largest uncertainty





# Resources

- U.S. EPA BMD technical guidance
  - <http://www2.epa.gov/osa/benchmark-dose-technical-guidance>
- U.S. EPA BMDS
  - <http://www.epa.gov/ncea/bmnds/>
- ICF BMDS Wizard
  - <http://www.icfi.com/insights/products-and-tools/bmnds-wizard>
- RIVM PROAST software
  - [http://www.rivm.nl/en/Documents\\_and\\_publications/Scientific/Models/PROAST](http://www.rivm.nl/en/Documents_and_publications/Scientific/Models/PROAST)
- U.S. EPA Body weight  $\frac{3}{4}$  scaling guidance
  - <http://www2.epa.gov/osa/recommended-use-body-weight-34-default-method-derivation-oral-reference-dose>
- U.S. EPA Inhalation dosimetry methods
  - <http://cfpub.epa.gov/ncea/cfm/recordisplay.cfm?deid=71993>
- WHO/IPCS Uncertainty guidance document and APROBA spreadsheet
  - [http://www.who.int/ipcs/methods/harmonization/areas/hazard\\_assessment/en/](http://www.who.int/ipcs/methods/harmonization/areas/hazard_assessment/en/)
- Health Assessment Workspace Collaborative (HAWC)
  - <https://hawcproject.org>
- Selected publications
  - Wignall et al. 2014. Standardizing benchmark dose calculations to improve science-based decisions in human health assessments. Environ Health Perspect. 122(5):499-505. doi: 10.1289/ehp.1307539
  - Abdo et al. 2015. Population-based in vitro hazard and concentration-response assessment of chemicals: the 1000 genomes high-throughput screening study. Environ Health Perspect. 123(5):458-66. doi: 10.1289/ehp.1408775
  - Chiu WA, Slob W. 2015 A Unified Probabilistic Framework for Dose-Response Assessment of Human Health Effects. Environ Health Perspect. doi: 10.1289/ehp.1409385



# Appendix: Dose-response data used for case studies

## Deoxynivalenol

Dose (mg/kg-d)	Mean Body Weight in g	Stdev	Number of Animals
0	43.85	2.69	37
0.1	43.51	2.86	35
0.5	40.04	3	43
1.1	35.09	2.56	42

Iverson F, Armstrong C, Nera E, Truelove J, Fernie S, Scott P et al. (1995). Chronic feeding study of deoxynivalenol in B6C3F1 male and female mice. *Teratog Carcinog Mutagen*. 15(6):283–306.

### Excel files:

DON-APROBA.xlsx

DON-casestudy-BMDS Wizard.xlsm

Note: BMDS Wizard files require EPA BMDS installation

## Methyleugenol

Dose (mg/kg-d)	Incidence of liver tumors	Number of Animals
0	7	50
26	14	50
54	28	50
110	43	50
210	45	50

NTP (2000). Toxicology and carcinogenesis studies of methyleugenol (CAS No. 93-15-2) in F344/N rats and B6C3F1 mice (gavage studies). TR-491. National Toxicology Program. U.S. Department of Health and Human Services. Research Triangle Park.

### Excel files:

MET-APROBA.xlsx

MET-casestudy-BMDS Wizard.xlsm

MET-BMR01-APROBA.xls

MET-casestudy-BMD01-BMDS Wizard.xlsm