



# The role of non-animal safety assessment methods in implementation of the new TSCA

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# The Frank R. Lautenberg Chemical Safety for the 21st Century Act: Reduction of Testing on Vertebrates

Sec. 4(h):Reduction of Testing on Vertebrates:

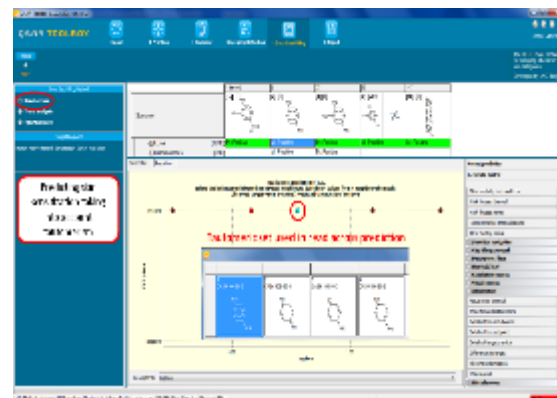
“IN GENERAL —The *Administrator shall reduce and replace*, to the extent practicable, scientifically justified, and consistent with the policies of this title, *the use of vertebrate animals in the testing of chemical substances or mixtures under this title*”



# The Frank R. Lautenberg Chemical Safety for the 21st Century Act: Reduction of Testing on Vertebrates

+ “ prior to making a request or adopting a requirement for testing using vertebrate animals... taking into consideration...”

- reasonably available existing information
- scientifically valid test methods and strategies not using vertebrate animals
- chemical grouping
- the formation of industry consortia

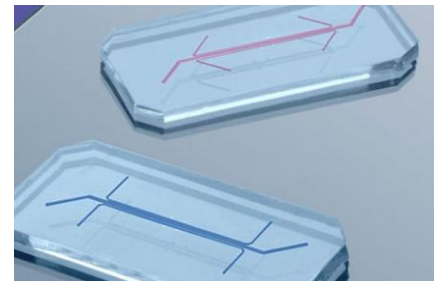
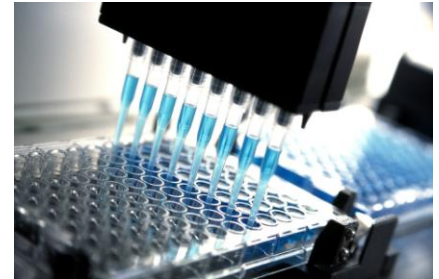


+ Requirement to replace vertebrate testing applies to required and voluntary testing

- “Any person developing information for submission under this title on a voluntary basis and not pursuant to any request or requirement by the Administrator shall first attempt to develop the information by means of an alternative test method or strategy”

# Implementation of Alternative Methods

- + “To promote the development and timely incorporation of new scientifically valid test methods and strategies that are not based on vertebrate animals” the EPA shall:
  - Create a strategic plan to promote the development and implementation of alternative test methods and strategies
    - Within two years of implementation (by June 22, 2018)
  - Prioritize the development and implementation of methods and approaches not using vertebrate animals



# Other elements impacting animal testing

## + Decisions are risk based

- prioritization and evaluation are **risk**, not hazard, based for both new and for existing chemicals
- data requirements should be related to exposure/use

## + Prioritization of existing chemicals

- EPA has one year to establish a risk-based screening process to determine whether existing chemicals are low or high priority
- Intention is to prioritize based on **existing** information and **focus resources** (testing) on chemicals of highest priority

## + Requirement for tiered screening and testing

- When requesting any new information, the EPA must employ a tiered screening and testing process
- Intention is **focus resources** on information necessary for regulation

# Other impacting elements

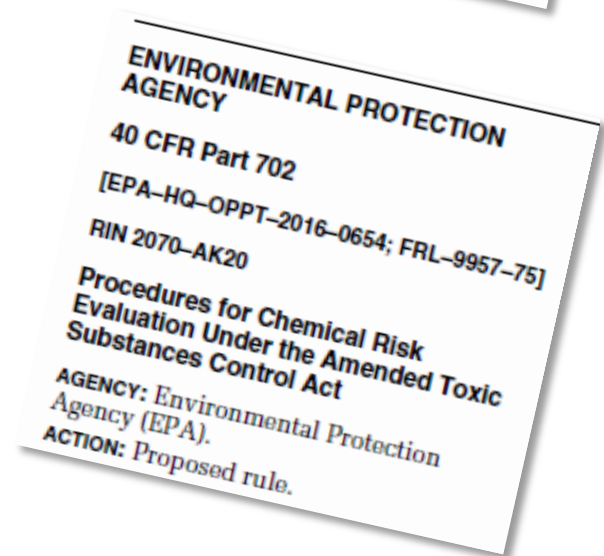
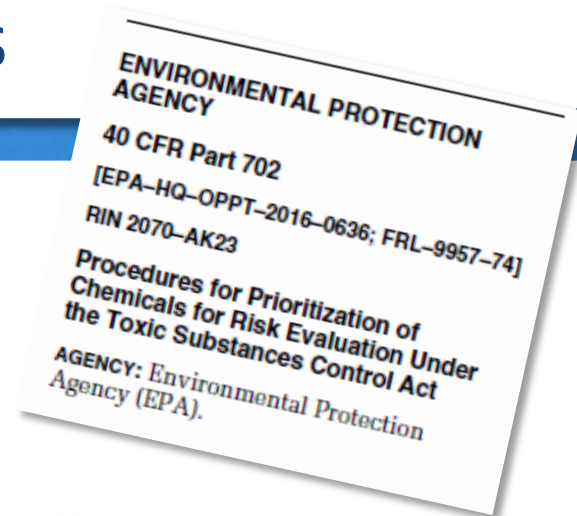
## + Tight timelines

- EPA has one year to establish a risk-based screening process to determine whether existing chemicals are low or high priority
- Prioritization process: 6 - 9 months
- Risk evaluation determination: 3 yrs + 6 months possible extension
- EPA has two years to develop the strategy for reducing and replacing vertebrate animal testing



# EPA interpretation and proposals

- + Draft rules issued Jan 17, comments due March 20, Final rules due June 22, 2017
  - Requirement to reduce and replace vertebrate animal use is statutory and not subject to rule-making
  - Risk must encompass all known, intended and reasonably foreseen exposure scenarios (one assessment per chemical)
  - EPA will not initiate chemical prioritization until it has all of the information it expects to need for a full risk assessment



# Prioritization draft rule

- + EPA is proposing a four-step process for prioritization:
  - 1) *pre-prioritization – most data will be generated here*
  - 2) initiation (public comment) – clock starts ticking: 6 – 9 months
  - 3) proposed designation (public comment)
  - 4) final designation: moves directly to risk assessment
  
- + High-Priority designation: “may present an unreasonable risk...because of a potential hazard and a potential route of exposure”
  - “a fairly low bar”
  - all chemicals lacking sufficient information will default to “high priority”
  
- + Low-Priority designation requires sufficient information for all conditions of exposure
  - “a fairly high bar”



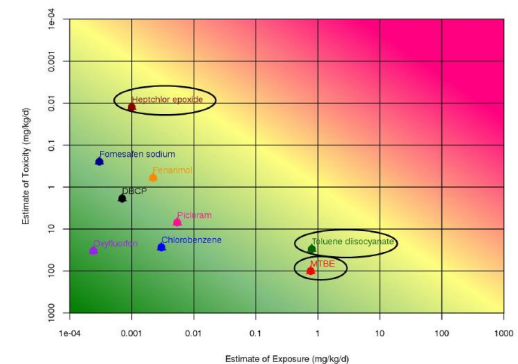
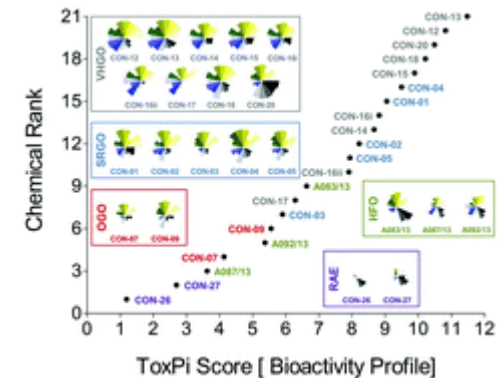
# Prioritization draft rule: consequences

- + Proposed new phase of pre-prioritization
  - By-passes legislated deadlines
  - Circumvents legislative intent to:
    - Rapidly identify chemicals that require immediate attention
    - Prioritize using largely existing information
    - Increase public confidence about large numbers of “untested” chemicals
  - Does not actually prioritize chemicals
    - Most chemicals likely will be designated high-priority
  - Hazard information will likely be gathered on most chemicals
    - Could result in REACH-like levels of testing (as a part of prioritization)
    - Does not focus resources on chemicals of most potential risk
  - Public (and regulated) communities left in the dark regarding the vast majority of chemicals

# Prioritization draft rule: suggestions

- + Pre-Prioritization could instead:
  - Initially focus on chemicals on existing lists of concern
  - Including EPA's own TSCA work plan
  - 90 chemicals in 2014 update
  - And of these, data rich chemicals should be prioritized for initiation
  - This approach would give EPA ample time to develop a comprehensive and transparent prioritization process

Comments from Humane Society of the United States and Gradient Corp on Proposed Rule: Procedures for Prioritization of Chemicals for Risk Evaluation Under the Toxic Substances Control Act, Docket ID EPA-HQ-OPPT-2016-0636



# Prioritization draft rule: suggestions

## Adapting existing process:

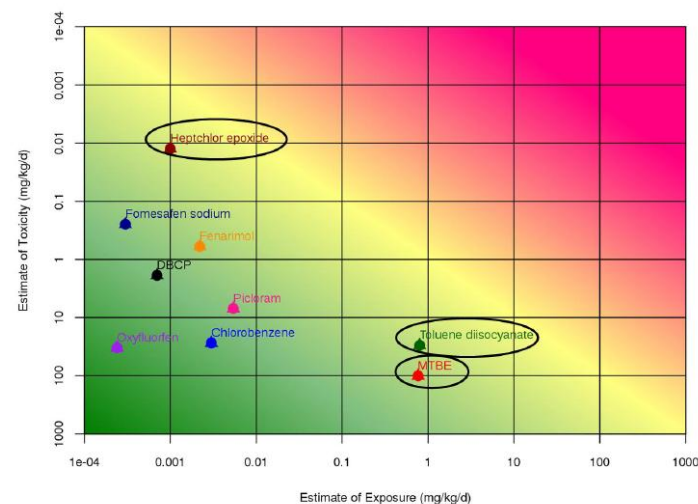
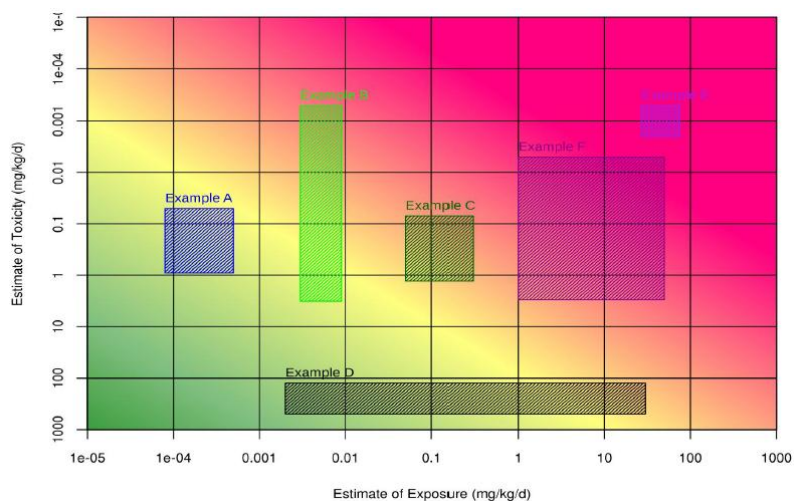
- + Canada's Chemical Management Program (CMP)
- + Australia's National Industrial Chemicals Notification and Assessment Scheme (NICNAS)
- + ILSI/HESI's RISK<sub>21</sub> matrix
- + Pre-Prioritization process should require no or very little new information generation or new vertebrate animal testing

Risk matrix—human health

Hazard Band	D			Assessed	
	C		Reported		
	B	Exempted			
	A				
		1	2	3	4
		Exposure Band			

# Prioritization draft rule: suggestions

## + RISK<sub>21</sub> Decision Matrix



- Matrix is decision context-dependent
- Map chemicals based on existing information/prediction
- Includes uncertainty estimate
- Readily identifies where additional information would reduce uncertainty
- Tiered data gathering focused on reducing uncertainty

[www.risk21.org](http://www.risk21.org)

International Life Sciences Institute/Health and Environmental Sciences Institute (ISLI/HESI)  
Risk<sub>21</sub> project  
Doe et al. Critical Reviews in Toxicology 2015.  
Wolf et al. Critical Reviews in Toxicology 2014.

# Prioritization draft rule: suggestions

## + *This type of approach would:*

- Allow transparent communication of relative risk of chemicals in the active TSCA inventory
- Enhance public confidence that priority chemicals were being addressed first
- Focus resources (and testing) on priority chemicals
- Provide industry with an incentive to provide information (especially exposure) to reduce uncertainty

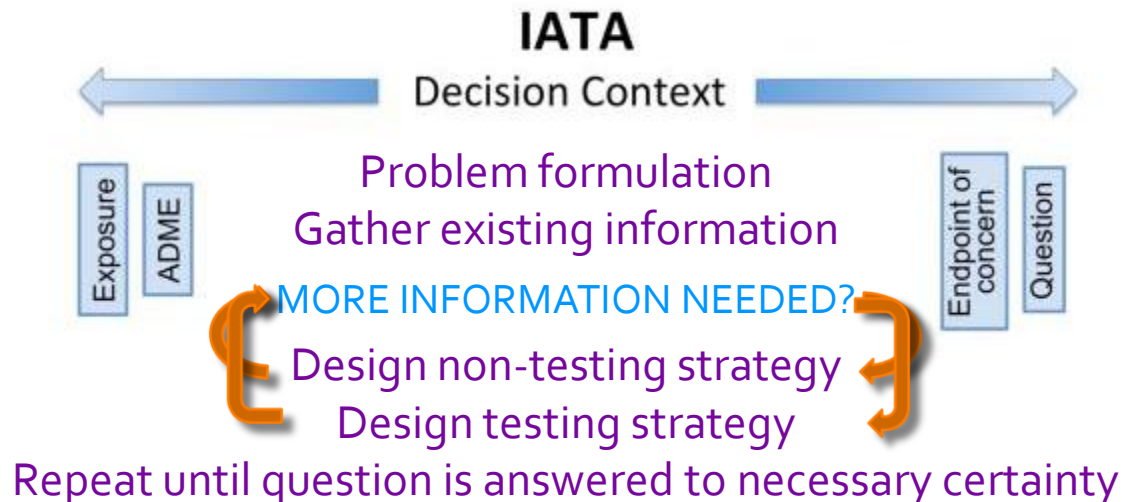
# Risk evaluation draft rule

- + Must determine whether a chemical presents “unreasonable risk” within 3 years with possible 6 mo. Extension
- + Must have 20 assessments in process by 2019, and 20 ongoing thereafter: at least 50% from 2014 TSCA work plan
  - + 20 – 50% manufacturer-requested
- + Risk evaluation
  - Scoping (6 mo. after start of RA)
    - affected populations
    - spectrum of known, expected and reasonably foreseen exposures (public comment)
  - Hazard assessment
    - Broad potential considerations
    - no description of how information requests relate to risk assessment (other than general “fit for purpose”)
    - Includes dose-response information
  - Exposure assessment
  - Risk characterization

# Risk evaluation draft rule

- + Proposed process is similar to existing approaches to integrated testing and assessment, e.g. OECD IATA

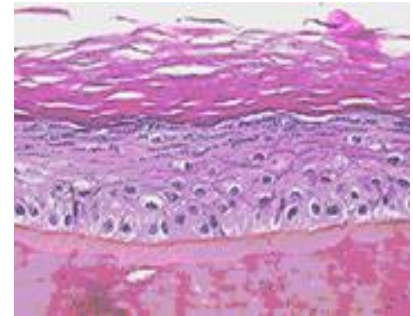
*"a structured approach that strategically integrates and weights all relevant data to inform regulatory decisions regarding potential hazard and/or risk and/or the need for further targeted testing and therefore optimising and potentially reducing the number of tests that need to be conducted."*



Report of the Workshop  
on a Framework for the  
Development and Use of  
IATA. 2015. OECD Series  
on Testing and Assessment  
No. 215

# Avoiding vertebrate testing in risk evaluation

- + Build on existing and developing approaches
  - Adoption of all available alternatives
    - Acute toxicity: reduction, waiving, bridging, cell-based
    - Skin and eye corrosion and irritation: complete replacements
    - Sensitization: nearing complete replacement
    - Collaborate with OPP and international efforts
    - OECD test guidelines, guidance documents, IATA strategies
  - Applies to industry supplied information as well as requests from EPA





# Implications/Opportunities: summary

- + Develop transparent prioritization process
  - Initial focus on existing priority chemicals
  - Adapt existing risk matrix to prioritize chemicals for initiation
- + Adapt OECD IATA process in risk evaluation
- + Immediate adoption of available alternative assessment methods
  - Build on OPPTS long practice of appropriate use of non-test methods
  - Adopt all available accepted alternatives
  - Coordinate with other offices on programs on development and acceptance of additional alternative methods

# Thank you!

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